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7 Attorneys for Petitioner

DENISE R. REED

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UNITED STATES DISTRICT COURT

10

NORTHERN DISTRICT OF CALIFORNIA

11

DENISE R. REED,

) Case No. 4:07-CV-03648-CW

12

Petitioner,

)

13

vs.

)

DECLARATION OF JONAH A. TOLENO,  
ESQ., IN SUPPORT OF REPLY

14

NATIONAL ASSOCIATION OF SECURITIES

)

15

DEALERS, INC., WHITE PACIFIC

)

SECURITIES, INC., and ROY L. PANELLI,

)

16

Respondents.

)

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)

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)

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I, JONAH A. TOLENO, ESQ., declare as follows:

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1. I am an attorney licensed to practice law before all the courts of the State of

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California and am a member of Shustak & Partners, P.C., counsel for Petitioner DENISE R. REED

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("Reed") in the above-captioned matter. I am fully familiar with the facts set forth below. If called

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to testify at trial I could and would testify to the following.

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2. To date, Reed and Shustak & Partners, P.C. are continuing to work diligently with

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Reed's former Tennessee defense counsel to obtain an order of expungement as pertains to Section

27

3(a) of the Arbitration Award (the "Award").

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1           3.       Reed's Tennessee defense counsel's office has represented to me that delays in the  
2 Tennessee court system have prevented their firm from obtaining the requisite order.

3           4.       White Pacific concedes in its Answer to Petition to FINRA's designation of Reed as  
4 the "prevailing party" in the underlying arbitration in the Arbitration Award (the "Award", a copy  
5 of which is attached to Reed's moving papers). Thus no dispute existed as to Reed's entitlement to  
6 reasonable attorneys' fees as the "prevailing party" pursuant to the Independent Contractor  
7 Agreement between the parties, prior to Reed filing her Motion.

8           5.       Reed submitted a detailed summary of her attorneys' fees and costs with her Motion.  
9 Reed submits a revised printout of her requested attorneys' fees and costs (the "revised statement",  
10 a copy of which is marked and attached hereto as Exhibit "A"). The revised statement indicates the  
11 same total requested amount but also summarizes each timekeeper's time spent on the case.

12           6.       The applicable timekeeper numbers on the revised statement represent the following  
13 individuals, whose biographies as well as a firm biography taken from the firm's website at  
14 www.shufirm.com, are printed out and marked and attached collectively hereto as Exhibit "B":

- 15               "1"     -     Erwin J. Shustak, Managing Partner
- 16               "19"    -     Thomas C. Frost, Attorney / Partner
- 17               "18"    -     Jonah A. Toleno, Attorney / Partner
- 18               "10"    -     Julie Miller, Attorney
- 19               "4"     -     Robert L. Hill, Attorney
- 20               "16"    -     Dominic Giovanniello, Paralegal
- 21               "3"     -     Juliana Konze, Paralegal
- 22               "12"    -     George Miller, Law clerk

23           7.       All of the above-named individuals' billing rates are set forth in the billing  
24 statement. The total time spent by the above individuals on this case is summarized on the attached  
25 billing statement.



# **Exhibit A**

Date: 12/27/2007

Detail Transaction File List  
SHUSTAK & PARTNERS, PC

Page: 1

Client	Trans Date	Tmkr	H P	Tcd	Rate	Hours to Bill	Amount		Ref #
Timekeeper 1 ERWIN SHUSTAK									
1420.02	06/29/2007	1	A	3	495.00	1.25	618.75	REVIEWING MOTION FOR RECONSIDERATION; REVIEW OF NASD CODE OF ARBITRATION; CONFERENCE AND EMAILS WITH JT AND TF RE: STRATEGY FOR CONFIRMING AWARD; REED/DENISE POST AWARD MATTERS	ARCH
1420.02	06/30/2007	1	A	19			19.24	THOMSON WEST - LEGAL RESEARCH; REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/02/2007	1	A	19			63.28	THOMSON WEST - LEGAL RESEARCH; REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/17/2007	1	A	3	495.00	1.00	495.00	REVIEW PETITION TO CONFIRM; CONFERENCE WITH JT RE: AMENDING TO ADD NASD AND SEEK LEGAL FEES; REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/18/2007	1	A	3	495.00	2.75	1361.25	REVIEW PETITION TO CONFIRM AND LETTER TO NASD; CONFERENCE WITH J. TOLENO RE: AMENDING PETITION AND RETURN DATE; T/C NASD CASE MANAGER RE: STATUS; REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/23/2007	1	A	20	0.220		10.78	PHOTOCOPYING/ PRINTING EXPENSE REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/23/2007	1	A	20	0.220		8.80	PHOTOCOPYING/ PRINTING EXPENSE REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/23/2007	1	A	21	1.000		6.00	OUTGOING FACSIMILE REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/23/2007	1	A	32	0.030		0.93	SCANNING EXPENSE REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/23/2007	1	A	23	0.410		3.62	POSTAGE REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/25/2007	1	A	22			27.79	OVERNIGHT DELIVERY OVERNITE EXPRESS PARCEL SERVICE REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/25/2007	1	A	22			29.79	OVERNIGHT DELIVERY OVERNITE EXPRESS PARCEL SERVICE REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/25/2007	1	A	22			27.79	OVERNIGHT DELIVERY OVERNITE EXPRESS PARCEL SERVICE REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/31/2007	1	A	3	495.00	1.25	618.75	CONFERENCE WITH TF AND JT RE: OBTAINING MONEY FROM ESCROW AGENT AND REVIEW OF ESCROW PROVISIONS; REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/31/2007	1	A	19			67.17	THOMSON WEST - LEGAL RESEARCH; REED/DENISE POST AWARD MATTERS	ARCH
1420.02	08/03/2007	1	A	20	0.220		45.32	PHOTOCOPYING/ PRINTING EXPENSE REED/DENISE POST AWARD MATTERS	ARCH
1420.02	08/03/2007	1	A	20	0.220		24.64	PHOTOCOPYING/ PRINTING EXPENSE REED/DENISE POST AWARD MATTERS	ARCH
1420.02	08/03/2007	1	A	21	1.000		6.00	OUTGOING FACSIMILE REED/DENISE POST AWARD MATTERS	ARCH
1420.02	08/03/2007	1	A	23	0.410		5.40	POSTAGE REED/DENISE POST AWARD MATTERS	ARCH
1420.02	08/17/2007	1	A	20	0.220		4.62	PHOTOCOPYING/ PRINTING EXPENSE REED/DENISE POST AWARD MATTERS	ARCH
1420.02	08/17/2007	1	A	23	0.410		1.64	POSTAGE REED/DENISE POST AWARD MATTERS	ARCH
1420.02	08/17/2007	1	A	32	0.030		0.39	SCANNING EXPENSE REED/DENISE	ARCH

Date: 12/27/2007

Detail Transaction File List  
SHUSTAK & PARTNERS, PC

Page: 2

Client	Trans Date	Tmkr	H P	Tcd	Rate	Hours to Bill	Amount		Ref #
<b>Timekeeper 1 ERWIN SHUSTAK</b>									
1420.02	08/22/2007	1	A	3	495.00	0.50	247.50	POST AWARD MATTERS T/C WITH NASD COUNSEL RE: EXPUNGEMENT; REED/DENISE	ARCH
1420.02	08/24/2007	1	A	32	0.030		5.94	POST AWARD MATTERS SCANNING EXPENSE REED/DENISE	ARCH
1420.02	08/28/2007	1	A	32	0.030		0.06	POST AWARD MATTERS SCANNING EXPENSE REED/DENISE	ARCH
1420.02	09/05/2007	1	A	19			16.58	POST AWARD MATTERS THOMSON WEST - LEGAL RESEARCH REED/DENISE	ARCH
1420.02	09/05/2007	1	A	19			61.17	POST AWARD MATTERS THOMSON WEST - LEGAL RESEARCH REED/DENISE	ARCH
1420.02	09/19/2007	1	A	20	0.220		11.44	POST AWARD MATTERS PHOTOCOPYING/ PRINTING EXPENSE REED/DENISE	ARCH
1420.02	09/19/2007	1	A	32	0.030		0.15	POST AWARD MATTERS SCANNING EXPENSE REED/DENISE	ARCH
1420.02	09/27/2007	1	A	3	495.00	0.75	371.25	POST AWARD MATTERS REVIEW EMAILS FROM JT RE: STRIP W/ NASD; T/C JT RE: SAME; REED/DENISE	ARCH
1420.02	09/28/2007	1	A	3	495.00	2.00	990.00	POST AWARD MATTERS REVIEW AND REVISE STIPULATION WITH FINRA; T/C WITH FINRA REPRESENTATIVE RE: STIPULATION SIGNED BY THE COURT; REED/DENISE	ARCH
1420.02	10/01/2007	1	A	22			23.77	POST AWARD MATTERS OVERNIGHT DELIVERY - OVERNITE EXPRESS PARCEL SERVICE REED/DENISE	ARCH
1420.02	10/05/2007	1	A	1	495.00	0.75	371.25	POST AWARD MATTERS FINAL REVIEW OF STIPULATION WITH FINRA; MAKE COMMENTS AND FORWARD TO JT REED/DENISE	ARCH
1420.02	10/31/2007	1	A	19			9.75	POST AWARD MATTERS THOMSON WEST - LEGAL RESEARCH (SEPTEMBER 2007) REED/DENISE	ARCH
1420.02	10/31/2007	1	A	19			209.00	POST AWARD MATTERS THOMSON WEST - LEGAL RESEARCH (SEPTEMBER 2007) REED/DENISE	ARCH
1420.02	11/30/2007	1	A	20	0.220		0.22	POST AWARD MATTERS PHOTOCOPYING/ PRINTING EXPENSE REED/DENISE	ARCH
1420.02	11/30/2007	1	A	20	0.220		1.76	POST AWARD MATTERS PHOTOCOPYING/ PRINTING EXPENSE REED/DENISE POST AWARD MATTERS	ARCH
<b>Total for Timekeeper 1</b>					Billable	10.25	5766.79	ERWIN SHUSTAK	
<b>Timekeeper 3 JULIANA KONZE</b>									
1420.02	09/28/2007	3	A	3	165.00	0.25	41.25	RESEARCH NORTHERN DISTRICT LOCAL RULES FOR FILING STIPULATION REED/DENISE	ARCH
1420.02	10/05/2007	3	A	3	165.00	0.25	41.25	POST AWARD MATTERS SAVE PROPOSED ORDER AND STIPULATION CONFIRMING ARBITRATION AWARD AND CALL TO JAT RE: SAME. REED/DENISE POST AWARD MATTERS	ARCH
<b>Total for Timekeeper 3</b>					Billable	0.50	82.50	JULIANA KONZE	
<b>Timekeeper 4 ROBERT HILL</b>									
1420.02	07/13/2007	4	A	49	295.00	1.50	442.50	REVISE DOCUMENTS RELATING TO PETITION TO CONFIRM ARBITRATION AWARD; CONFERENCE WITH ATTY JAT REED/DENISE	ARCH
1420.02	07/13/2007	4	A	3	295.00	0.75	221.25	POST AWARD MATTERS REVISE PETITION TO CONFIRM ARBITRATION AWARD DOCUMENTS PURSUANT TO ATTY JAT'S REVISIONS	ARCH

Date: 12/27/2007

Detail Transaction File List  
SHUSTAK & PARTNERS, PC

Page: 3

Client	Trans Date	Tmkr	H P	Tcd	Rate	Hours to Bill	Amount	Ref #
<b>Timekeeper 4 ROBERT HILL</b>								
1420.02	07/13/2007	4	A	3	295.00	0.50	147.50 REED/DENISE POST AWARD MATTERS DRAFT CIVIL CASE COVER SHEET AND SUMMONS; CONFER WITH ATTY TAF RE: PROPER FILING PROCEDURE	ARCH
1420.02	08/08/2007	4	A	1	325.00	0.25	81.25 REED/DENISE POST AWARD MATTERS CONFERENCE WITH ATTY JT RE: FEDERAL PLEADING REQUIREMENTS, CASE STATUS, AND CASE STRATEGY	ARCH
<b>Total for Timekeeper 4</b>								
					Billable	3.00	892.50 ROBERT HILL	
<b>Timekeeper 10 JULIE MILLER</b>								
1420.02	06/28/2007	10	A	3	350.00	0.25	87.50 TELECONF WITH J. TOLENO RE REED MATTER; REVIEW EMAILS FROM WORKING GROUP RE STATUS OF REED. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/02/2007	10	A	3	350.00	0.25	87.50 TELECONF WITH J. TOLENO RE REED MATTER. REED/DENISE POST AWARD MATTERS	ARCH
<b>Total for Timekeeper 10</b>								
					Billable	0.50	175.00 JULIE MILLER	
<b>Timekeeper 12 GEORGE MILLER</b>								
1420.02	08/08/2007	12	A	3	110.00	4.25	467.50 SEVERAL CONFERENCES WITH JAT RE REQUIRED RESEARCH; RESEARCHED CHALLENGE TO SUBJECT MATTER JURISDICTION; PREPARED MEMO; EMAIL TO JAT REED/DENISE POST AWARD MATTERS	ARCH
<b>Total for Timekeeper 12</b>								
					Billable	4.25	467.50 GEORGE MILLER	
<b>Timekeeper 16 DOMINIC GIOVANNIELLO</b>								
1420.02	07/03/2007	16	A	3	150.00	0.25	37.50 CALENDAR AND ALERTED ATTORNEYS TO DEADLINE SET BY NASD TO FILE OPPOSITION TO MOTION TO MODIFY SETTLEMENT. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/11/2007	16	A	3	150.00	0.25	37.50 REVIEWED CASE FILE TO RESPOND TO CALL FROM NASD. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/11/2007	16	A	1	150.00	0.50	75.00 CONFERENCE WITH JONAH TOLENO REGARDING CASE INFORMATION, CURRENT BRIEFS THAT ARE DUE AND NASD QUESTIONS. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/13/2007	16	A	3	150.00	0.75	112.50 FINALIZED PETITION DOCUMENTS. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/13/2007	16	A	3	150.00	1.75	262.50 RESEARCHED PROCEDURE TO FILE PETITION TO CONFIRM ARBITRATION AWARD IN FEDERAL COURT. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/16/2007	16	A	3	150.00	0.75	112.50 FILED PETITION FOR AWARD. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/16/2007	16	A	1	150.00	0.25	37.50 CONFERENCE WITH ROB HILL REGARDING PROCEDURE FOR SERVING THE PETITION TO CONFIRM AWARD, HEARING DATES AND ASSOCIATED BRIEFS RELATED TO THIS FILING. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/17/2007	16	A	1	150.00	0.25	37.50 CONFERENCE WITH JONAH REGARDING PETITION TO CONFIRM AWARD. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/17/2007	16	A	3	150.00	1.00	150.00 RESEARCH AND PHONE CORRESPONDENCE WITH ATTORNEY REGARDING THE FILING OF OUR PETITION TO CONFIRM AWARD. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/17/2007	16	A	4	150.00	0.25	37.50 TELEPHONE CONFERENCE WITH DERRICK SORRELLS OF THE NASD REGARDING EXPUNGEMENT.	ARCH



Date: 12/27/2007

Detail Transaction File List  
SHUSTAK & PARTNERS, PC

Page: 4

Client	Trans Date	Tmkr	H P	Tcd	Rate	Hours to Bill	Amount	Ref #
Timekeeper 16 DOMINIC GIOVANNIELLO								
1420.02	07/17/2007	16	A	1	150.00	0.25	37.50 REED/DENISE POST AWARD MATTERS CONFERENCE WITH JONAH REGARDING THE AMENDED PETITION TO CONFIRM AWARD AND WAIVER OF SERVICE FOR THE NASD.	ARCH
1420.02	07/19/2007	16	A	3	150.00	0.25	37.50 REED/DENISE POST AWARD MATTERS RESEARCH AND CONFERENCE WITH JONAH REGARDING SERVING THE NASD WITH THE AMENDED PETITION.	ARCH
1420.02	07/19/2007	16	A	3	150.00	1.00	150.00 REED/DENISE POST AWARD MATTERS CREATING CAPTION AND PROOF OF SERVICE FOR PETITION.	ARCH
1420.02	07/23/2007	16	A	3	150.00	3.00	450.00 REED/DENISE POST AWARD MATTERS FINALIZING AMENDED PETITION DOCUMENTS TO GET PREPARED TO E-FILE.	ARCH
1420.02	07/24/2007	16	A	3	150.00	0.25	37.50 REED/DENISE POST AWARD MATTERS REVISED ALL PETITION DOCUMENTS TO REFLECT TODAY'S DATE.	ARCH
1420.02	07/24/2007	16	A	1	150.00	0.25	37.50 REED/DENISE POST AWARD MATTERS CONFERENCE WITH JONAH REGARDING OPPOSING COUNSEL REPRESENTATION OF ROY PANELLI AND WAIVER DOCUMENTS FOR SULLWOLD AND THE NASD.	ARCH
1420.02	07/24/2007	16	A	3	150.00	0.25	37.50 REED/DENISE POST AWARD MATTERS EMAIL CORRESPONDENCE WITH ATTORNEY SERVICE REGARDING THE FILING OF OUR ORIGINAL PETITION.	ARCH
1420.02	07/24/2007	16	A	3	150.00	1.00	150.00 REED/DENISE POST AWARD MATTERS SEARCHED FILE AND WESTLAW FOR THE CORRECT ADDRESS OF ROY PANELLI TO FACILITATE SERVICE OF THE PETITION AND THE FIRST AMENDED PETITION. REVISED DOCUMENTS TO REFLECT THAT SULLWOLD WILL NOT REPRESENT PANELLI.	ARCH
1420.02	07/24/2007	16	A	3	150.00	1.50	225.00 REED/DENISE POST AWARD MATTERS E-FILED FIRST AMENDED COMPLAINT WITH US DISTRICT COURT. FORWARDED THE PROPOSED ORDER DIRECTLY TO THE JUDGE. PREPARED COPIES TO BE SERVED ON ALL RESPONDENTS.	ARCH
1420.02	07/25/2007	16	A	3	150.00	0.50	75.00 REED/DENISE POST AWARD MATTERS PREPARED FILED COPIES OF THE PETITION TO BE SERVED ON ROY PANELLI.	ARCH
1420.02	07/25/2007	16	A	3	150.00	0.75	112.50 REED/DENISE POST AWARD MATTERS DRAFTED WAIVER LETTER AND FORMS FOR OPPOSING COUNSEL TO SIGN.	ARCH
1420.02	07/25/2007	16	A	3	150.00	0.50	75.00 REED/DENISE POST AWARD MATTERS FINALIZED WAIVER DOCUMENTS AND MAILED TO OPPOSING COUNSEL.	ARCH
1420.02	07/27/2007	16	A	3	150.00	2.00	300.00 REED/DENISE POST AWARD MATTERS REVIEWING CASE FILE, CALLS TO THE NASD AND RESEARCH OF NASD RULES TO FIND OUT WHY THE NASD HAS NOT EXPUNGED MS REED'S CRD.	ARCH
1420.02	07/30/2007	16	A	4	150.00	0.50	75.00 REED/DENISE POST AWARD MATTERS TELEPHONE CONFERENCE WITH RITA LEE AT THE NASD TO CLARIFY HER LETTER TO US REGARDING EXPUNGEMENT.	ARCH
1420.02	08/02/2007	16	A	1	165.00	0.50	82.50 REED/DENISE POST AWARD MATTERS CONFERENCE WITH JONAH TO DISCUSS MY CONVERSATION WITH THE NASD, WHERE WE STAND WITH EXPUNGEMENT ISSUES AND OUR PETITION TO CONFIRM.	ARCH
1420.02	08/06/2007	16	A	3	165.00	0.50	82.50 REED/DENISE POST AWARD MATTERS REVIEWED COMPLIANCE DOCUMENTS TO ASSIST WITH EXPUNGEMENT.	ARCH



Date: 12/27/2007

**Detail Transaction File List**  
SHUSTAK & PARTNERS, PC

Page: 5

Client	Trans Date	Tmkr	H P	Tcd	Rate	Hours to Bill	Amount	Ref #
<b>Timekeeper 16 DOMINIC GIOVANNIELLO</b>								
1420.02	08/06/2007	16	A	1	165.00	0.50	82.50 POST AWARD MATTERS CONFERENCE WITH JONAH REGARDING EXPUNGEMENT, HER CONVERSATION WITH NASD COUNSEL REGARDING THE 1ST AMENDED PETITION AND MY REVIEW OF THE COMPLIANCE DOCUMENTS. REED/DENISE	ARCH
1420.02	08/06/2007	16	A	3	165.00	0.75	123.75 POST AWARD MATTERS SEARCHED AND RETRIEVED TENNESSEE COURT ORDER OF EXPUNGEMENT. REFORMATTED DOCUMENT TO MAKE IT MORE LEGIBLE AND FORWARDED TO JONAH TOLENO. REED/DENISE	ARCH
1420.02	08/06/2007	16	A	4	165.00	0.50	82.50 POST AWARD MATTERS TELEPHONE CONFERENCE WITH COURT CLERK REGARDING ECF NOTICE DEMANDING THAT WE E-FILE THE INITIAL PLEADING. EMAILED DOCUMENTS REQUEST BY THE CLERK. REED/DENISE	ARCH
1420.02	08/07/2007	16	A	4	165.00	0.25	41.25 POST AWARD MATTERS TELEPHONE CONFERENCE WITH MICHELLE JACKO REGARDING CRD INFO FOR DENISE REED. REED/DENISE	ARCH
1420.02	08/07/2007	16	A	1	165.00	0.25	41.25 POST AWARD MATTERS CONFERENCE WITH JONAH RELATING INFORMATION MICHELLE GAVE ME TO FIND OUT WHAT THE NASD IS DOING WITH CLIENT'S CRD. REED/DENISE	ARCH
1420.02	08/10/2007	16	A	3	165.00	0.50	82.50 POST AWARD MATTERS REVIEWED CASE AND SERVICE DATE TO CREATE SERVICE CHART. REED/DENISE	ARCH
1420.02	08/10/2007	16	A	3	165.00	0.50	82.50 POST AWARD MATTERS RESEARCHED FED RULES OF CIVIL PROCEDURE RELATING TO WAIVER OF SERVICE OF SUMMONS TO PINPOINT A RESPONSE DEADLINE FOR SULLWOLD IN RELATION TO THE PETITION.. REED/DENISE	ARCH
1420.02	08/10/2007	16	A	1	165.00	0.25	41.25 POST AWARD MATTERS CONFERENCE WITH JONAH REGARDING RESPONSE DATES AND CORRESPONDENCE FROM OPPOSING COUNSEL. REED/DENISE	ARCH
1420.02	08/10/2007	16	A	3	165.00	0.25	41.25 POST AWARD MATTERS CALENDARED RESPONSE DATES FOR ALL RESPONDENTS. REED/DENISE	ARCH
1420.02	08/14/2007	16	A	4	165.00	0.25	41.25 POST AWARD MATTERS TELEPHONE CONFERENCE WITH SHEILAH, CALENDAR CLERK TO SEE HOW THE COURT WOULD PROCEED WITH RESPECT TO A HEARING DATE AND IF THEY WOULD VACATE THE INITIAL CASE CONFERENCE IN LIEU OF A MOTION HEARING. REED/DENISE	ARCH
1420.02	08/14/2007	16	A	3	165.00	0.25	41.25 POST AWARD MATTERS RESEARCHED LOCAL RULES TO ENSURE THAT I COULD CALL THE JUDGE'S CLERK FOR CLARIFICATION ON BRIEFING SCHEDULE. REED/DENISE	ARCH
1420.02	08/14/2007	16	A	1	165.00		0.00 POST AWARD MATTERS CONFERENCE WITH JONAH REGARDING MY CONVERSATION WITH THE COURT CLERK. REED/DENISE	ARCH
1420.02	08/20/2007	16	A	3	165.00	0.25	41.25 POST AWARD MATTERS FILED PROOF OF SERVICE FOR ROY PANELLI WITH THE COURT. REED/DENISE	ARCH
1420.02	08/23/2007	16	A	1	165.00	0.25	41.25 POST AWARD MATTERS CONFERENCE WITH JONAH REGARDING NASD ISSUES AND EXTENSION OF TIME FOR THEM TO RESPOND. REED/DENISE	ARCH
1420.02	08/24/2007	16	A	3	165.00	0.75	123.75 POST AWARD MATTERS REVIEWING CASE FILE TO FIND DOCUMENTS RELATING TO TN CRIMINAL CHARGES DUE TO FINRA'S OPPOSITION TO THE ARBITRATION AWARD. REED/DENISE	ARCH
1420.02	08/30/2007	16	A	1	165.00	0.25	41.25 POST AWARD MATTERS CONFERENCE WITH JONAH REGARDING	ARCH

Date: 12/27/2007

Detail Transaction File List  
SHUSTAK & PARTNERS, PC

Page: 6

<u>Client</u>	<u>Trans Date</u>	<u>Tmkr</u>	<u>H P</u>	<u>Tcd</u>	<u>Rate</u>	<u>Hours to Bill</u>	<u>Amount</u>		<u>Ref #</u>
Timekeeper 16 DOMINIC GIOVANNIELLO									
								NON-APPEARANCE OF ROBERT SULLWOLD. REED/DENISE POST AWARD MATTERS	
1420.02	09/04/2007	16	A	1	165.00	0.25	41.25	CONFERENCE WITH JONAH REGARDING WAIVER FORMS THAT WERE SENT BACK FROM MR. SULLWOLD. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	09/04/2007	16	A	3	165.00	0.25	41.25	FILED WAIVER FROM SULLWOLD FOR WHITE PACIFIC. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	09/04/2007	16	A	3	165.00	0.25	41.25	REVIEWED AND DISCUSSED PROCEDURALLY SIMILAR CASE IN NORTHERN DISTRICT OF CALIFORNIA WITH JONAH. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	09/05/2007	16	A	3	165.00	0.25	41.25	LOCATED DOCUMENTS REQUESTED BY FINRA TO EXPUNGE CRD RECORD. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	09/10/2007	16	A	1	165.00	0.25	41.25	CONFERENCE WITH JONAH REGARDING HER DISCUSSIONS WITH FINRA AND HOW WE WILL PROCEED. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	09/11/2007	16	A	3	165.00	0.25	41.25	REVIEWED, SCANNED AND FILED STIPULATION EXTENDING THE TIME FOR THE NASD TO FILE THEIR RESPONSE TO OUR PETITION. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	09/13/2007	16	A	3	165.00	0.50	82.50	SEARCHED FOR CASES IN NORTHERN DISTRICT OF CALIFORNIA AND ON WESTLAW FOR ANY SIMILAR CASES TO ASSIST WITH OUR HANDLING OF THE CASE. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	09/20/2007	16	A	1	165.00	0.50	82.50	CONFERENCE WITH JONAH TOLENO REGARDING HER DISCUSSION WITH FINRA AND HOW WE WILL PROCEED. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	09/21/2007	16	A	1	165.00	0.25	41.25	CONFERENCE WITH JONAH REGARDING NEW DIRECTION IN THE CASE. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	09/21/2007	16	A	3	165.00	0.50	82.50	RESEARCHED MOTION PROCEDURE LOCAL RULES AND JUDGE WILKEN'S RULES TO PLAN ON MOTION FILING. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	09/25/2007	16	A	1	165.00	0.25	41.25	CONFERENCE WITH JONAH REGARDING WHITE PACIFIC'S ANSWER TO PETITION. ALSO, REVIEWED, SCANNED AND FILED ANSWER. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	09/26/2007	16	A	1	165.00	0.25	41.25	CONFERENCE WITH JONAH TO DISCUSS THE PROPOSED STIPULATION TO VACATE THE BRIEFING SCHEDULE. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	09/27/2007	16	A	3	165.00	0.25	41.25	REVIEWED, SCANNED AND FILED STIPULATION AND MOTION TO VACATE ADR SCHEDULE. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	09/28/2007	16	A	1	165.00	0.25	41.25	CONFERENCE WITH JONAH RELATED TO THE FILING OF THE ENTRY OF DEFAULT AND STIPULATION PROCEDURE IN THE NORTHERN DISTRICT. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	09/28/2007	16	A	3	165.00	1.00	165.00	DRAFTED REQUEST FOR ENTRY OF DEFAULT DOCUMENT AND PROOF OF SERVICE. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	09/28/2007	16	A	3	165.00	0.75	123.75	FINALIZED DOCUMENTS FOR ENTRY OF DEFAULT AND E-FILED. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	10/02/2007	16	A	3	165.00	0.25	41.25	REVIEWED, SCANNED AND FILED CLERKS ENTRY OF DEFAULT. REED/DENISE POST AWARD MATTERS	ARCH

Date: 12/27/2007

Detail Transaction File List  
SHUSTAK & PARTNERS, PC

Page: 7

Client	Trans Date	Tmkr	H P	Tcd	Rate	Hours to Bill	Amount	Ref #
<b>Timekeeper 16 DOMINIC GIOVANNIELLO</b>								
1420.02	10/10/2007	16	A	3	165.00	0.25	41.25 REVIEWED, SCANNED AND FILED SEVERAL SIGNED ORDERS FROM THE COURT. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	10/10/2007	16	A	1	165.00	0.25	41.25 CONFERENCE WITH JONAH REGARDING THE JUDGMENT OF THE COURT RELATING TO OUR STIPULATION. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	10/17/2007	16	A	4	165.00	0.25	41.25 TELEPHONE CONFERENCE WITH JONAH REGARDING LETTER FROM FINRA TO DENISE REED/FRANK HO. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	12/04/2007	16	U	3	165.00	0.50	82.50 REVIEWED COURT RULES AND JUDGES CALENDAR TO GET HEARING DATE FOR MOTION FOR ATTORNEYS FEES. REED/DENISE POST AWARD MATTERS	170
1420.02	12/04/2007	16	U	3	165.00	1.00	165.00 FORMATTING AND MAKING FINAL EDITS TO MOTION FOR ATTORNEYS FEES AND ALL SUPPORTING DOCUMENTS. REED/DENISE POST AWARD MATTERS	171
1420.02	12/04/2007	16	U	3	165.00	0.50	82.50 FILING MOTION FOR ATTORNEYS' FEES AND ALL SUPPORTING DOCUMENTS VIA EFILE AND INCLUDING SEPARATE EMAIL TO THE JUDGE WITH THE PROPOSED ORDER. REED/DENISE POST AWARD MATTERS	172
<b>Total for Timekeeper 16</b>					<b>Billable</b>	<b>33.75</b>	<b>5298.75</b>	<b>DOMINIC GIOVANNIELLO</b>
<b>Timekeeper 18 JONAH A. TOLENO</b>								
1420.02	06/29/2007	18	A	3	395.00	1.50	592.50 REVIEW MOTION FOR RECONSIDERATION & RESEARCH BY ATTY RH; RESEARCH FEDERAL RULES RE MOTION TO CONFIRM & VACATE; CONFERENCE WITH ATTY TF RE SAME. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	06/29/2007	18	A	3	395.00	0.25	98.75 DRAFT LETTER TO NASD & OPP COUNSEL RE RESPONSE TO MOTION FOR RECONSIDERATION; SEND SAME TO CLIENT. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/02/2007	18	A	1	395.00	0.25	98.75 CONFERENCE WITH ATTY TF RE FILING PETITION IN FED CT TO CONFIRM AWARD. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/02/2007	18	A	3	395.00	0.25	98.75 BEGIN DRAFTING OPPOSITION TO MOTION TO MODIFY AWARD AS TO ATTYS FEES. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/03/2007	18	A	3	395.00	0.75	296.25 CONTINUE OPPOSITION TO MOTION TO MODIFY ARB AWARD & RESEARCH RE SAME; CORRESPOND WITH PARALEGAL DG & STAFF RE SAME; REVIEW ARBITRATION AWARD. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/03/2007	18	A	3	395.00	0.25	98.75 REVIEW CORRESPONDENCE FROM NASD RE MOTION TO MODIFY AWARD FOR USE IN DRAFTING OPPOSITION. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/06/2007	18	A	3	395.00	2.75	1086.25 DRAFT, REVISE & FINALIZE OPPOSITION TO MOTION TO MODIFY ATTORNEYS' FEES; RESEARCH RE SAME; CONFERENCE WITH ATTY EJS RE SAME. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/09/2007	18	A	3	395.00	0.50	197.50 CONTINUE DRAFTING PETITION TO CONFIRM ARBITRATION AWARD IN FEDERAL COURT. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	07/11/2007	18	A	3	395.00	0.25	98.75 EXCHANGE E-MAILS WITH CLIENT & ATTY TF RE STATUS OF OPPOSITION TO MOTION TO MODIFY AWARD, POSTURE OF CASE & RE PETITION TO CONFIRM. REED/DENISE	ARCH

Date: 12/27/2007

Detail Transaction File List  
SHUSTAK & PARTNERS, PC

Page: 8

Client	Trans Date	Tmkr	H P	Tcd	Rate	Hours to Bill	Amount	Ref #
Timekeeper 18 JONAH A. TOLENO								
1420.02	07/13/2007	18	A	3	395.00	0.50	197.50 POST AWARD MATTERS REVISIONS TO MY DECLARATION IN SUPPORT OF PETITION TO CONFIRM AWARD; ASSIGN FINALIZATION OF PETITION PAPERS TO ATTY RH. REED/DENISE	ARCH
1420.02	07/17/2007	18	A	3	395.00	0.50	197.50 POST AWARD MATTERS REVIEW MULTIPLE NOTICES FROM FEDERAL COURT RE PETITION FILING; CONFERENCE WITH ATTY EJS RE STRATEGY FOR EXPUNGEMENT PROCEDURES; CONFERENCE WITH PARALEGAL RE CALENDARING OF PETITION DATES. REED/DENISE	ARCH
1420.02	07/18/2007	18	A	3	395.00	2.00	790.00 POST AWARD MATTERS DRAFT & REVISE 1ST AMENDED PETITION TO CONFIRM ARBITRATION AWARD; RESEARCH RE SERVICE OF SAME ON NASD. REED/DENISE	ARCH
1420.02	07/18/2007	18	A	3	395.00	0.50	197.50 POST AWARD MATTERS REVIEW MULTIPLE CORRESPONDENCES FROM FEDERAL COURT RE FILING OF PETITION TO CONFIRM ARBITRATION AWARD; DISCUSS RE SAME WITH ATTY EJS. REED/DENISE	ARCH
1420.02	07/19/2007	18	A	3	395.00	0.50	197.50 POST AWARD MATTERS CONTINUE REVISIONS TO 1ST AMENDED PETITION & SUPPORTING DOCUMENTS. REED/DENISE	ARCH
1420.02	07/20/2007	18	A	3	395.00	0.50	197.50 POST AWARD MATTERS REVISE 1ST AMENDED PETITION TO CONFIRM & SUPPORTING DOCUMENTS; REVIEW SAME WITH ATTY EJS. REED/DENISE	ARCH
1420.02	07/23/2007	18	A	3	395.00	0.25	98.75 POST AWARD MATTERS FINALIZE 1ST AMENDED PETITION & SUPPORTING DOCUMENTS FOR ELECTRONIC FILING WITH FEDERAL COURT. REED/DENISE	ARCH
1420.02	07/24/2007	18	A	3	395.00	0.25	98.75 POST AWARD MATTERS TELEPHONE CALL TO ATTY SULLWOLD RE SERVICE OF PETITION DOCUMENTS. REED/DENISE	ARCH
1420.02	07/24/2007	18	A	3	395.00	0.25	98.75 POST AWARD MATTERS ADDITIONAL TELEPHONE CALL FROM ATTY SULLWOLD RE WAIVER OF SERVICE OF PETITION DOCUMENTS; CONFERENCE WITH PARALEGAL DG RE SERVICE ON RESPONDENT PANELLI REED/DENISE	ARCH
1420.02	07/27/2007	18	A	3	395.00	1.00	395.00 POST AWARD MATTERS MULTIPLE CONFERENCES & E-MAILS WITH ATTY TF & PARALEGAL DG RE NASD'S FAILURE TO EXPUNGE CLIENT'S RECORDS AND RE PETITION TO CONFIRM; REVIEW CLIENT'S RECORDS AGAINST ARBITRATION AWARD TO DETERMINE POTENTIAL DISCREPANCIES; EMAIL TO CLIENT RE SAME. REED/DENISE	ARCH
1420.02	07/30/2007	18	A	3	395.00	0.50	197.50 POST AWARD MATTERS REVIEW NASD'S ORDER DENYING WP'S MTN TO MODIFY; DISCUSS RE SAME WITH ATTYS TF/EJS & RE SUSPENSION OF WP'S LICENSE; E-MAIL COPIES OF FEDERAL PETITION PAPERS TO CLIENT. REED/DENISE	ARCH
1420.02	07/31/2007	18	A	3	395.00	0.25	98.75 POST AWARD MATTERS REVIEW E-MAILS FROM ATTYS EJS & TF RE STRATEGY FOR EXPUNGEMENT, OBTAINING ATTORNEYS' FEES & PETITION TO CONFIRM. REED/DENISE	ARCH
1420.02	08/02/2007	18	A	3	395.00	2.75	1086.25 POST AWARD MATTERS MULTIPLE TELEPHONE CONFERENCES WITH CLIENT & FRANK HO RE EXPUNGEMENT ISSUES; RECEIVE & REVIEW VARIOUS E-MAILS & CRD. DOCUMENTS FROM FRANK HO RE CLIENT'S RECORDS & DISCLOSURES; CONFERENCE WITH ATTY EJS RE SAME & RE ENFORCING COLLECTION OF INTEREST FROM WHITE PACIFIC ON ARB AWARD; DRAFT LETTER TO COUNSEL FOR WHITE PACIFIC TO DEMAND INTEREST PAYMENT. REED/DENISE	ARCH
							POST AWARD MATTERS	

Date: 12/27/2007

**Detail Transaction File List**  
SHUSTAK & PARTNERS, PC

Page: 9

<u>Client</u>	<u>Trans Date</u>	<u>Tmkr</u>	<u>H P</u>	<u>Tcd</u>	<u>Rate</u>	<u>Hours to Bill</u>	<u>Amount</u>		<u>Ref #</u>
<b>Timekeeper 18 JONAH A. TOLENO</b>									
1420.02	08/03/2007	18	A	3	395.00	1.50	592.50	CONFERENCE WITH ATTY EJS RE COLLECTION OF INTEREST FROM WHITE PACIFIC; REVISE LETTER TO WHITE PACIFIC'S COUNSEL RE SAME; SEND CORRESPONDENCE TO CLIENT TO UPDATE HER RE SAME. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	08/03/2007	18	A	3	395.00	0.50	197.50	MULTIPLE TELEPHONE CONFERENCES WITH NASD RE EXPUNGEMENT OF CRIMINAL RECORD DISCLOSURE; UPDATE CLIENT RE SAME. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	08/06/2007	18	A	3	395.00	3.75	1481.25	MULTIPLE E-MAILS WITH CLIENT RE C.R.D. RECORDS & CRIMINAL DISCLOSURES; MULTIPLE E-MAILS & TELEPHONE CONFERENCES WITH FRANK HO OF CLIENT'S EMPLOYER RE C.R.D RECORDS; REVIEW DOCUMENTS FROM FRANK HO; TELEPHONE CONFERENCE & MULTIPLE E-MAILS WITH NASD COUNSEL SARAH GILL RE EXPUNGEMENT & PETITION MATTERS; REVIEW MULTIPLE E-MAILS FROM FEDERAL COURT RE STATUS OF PETITION & CONFER WITH PARALEGAL DG RE SAME; REED/DENISE POST AWARD MATTERS	ARCH
1420.02	08/08/2007	18	A	3	395.00	3.50	1382.50	MULTIPLE E-MAILS & TELEPHONE CALLS WITH COUNSEL FOR NASD RE EXPUNGEMENT & RE NASD'S DEADLINE TO FILE RESPONSE TO PETITION; REVIEW & FINALIZE PETITION CONFIRMING NASD'S DEADLINE; REVIEW VARIOUS RESEARCH MEMOS BY LAW CLERK RE SUBJECT MATTER JURISDICTION IN FEDERAL COURT & DO FOLLOW UP RESEARCH RE SAME; REVIEW VARIOUS NOTICES FROM COURT RE COURT FILINGS PERTAINING TO PETITION; MULTIPLE E-MAILS WITH OPPOSING COUNSEL RE COLLECTING INTEREST ON ARBITRATION AWARD & RE SUBJECT MATTER JURISDICTION GROUNDS. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	08/09/2007	18	A	3	395.00	0.50	197.50	MULTIPLE E-MAILS WITH OPP COUNSEL RE JURISDICTION OF PETITION TO CONFIRM; FOLLOW-UP RESEARCH RE JURISDICTION ISSUES. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	08/10/2007	18	A	3	395.00	0.25	98.75	CORRESPONDENCE/CONFERENCE WITH ATTYS TF/EJS RE PETITION & JURISDICTION MATTERS; FOLLOW UP RESEARCH RE SAME. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	08/13/2007	18	A	3	395.00	0.50	197.50	CORRESPONDENCE WITH OPPOSING COUNSEL & PARALEGAL DG RE NASD'S ASSOCIATION WITH LOCAL COUNSEL IN PETITION MATTER. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	08/14/2007	18	A	3	395.00	0.75	296.25	CONFERENCES WITH PARALEGAL & STAFF RE STATUS OF PETITION IN FEDERAL COURT & CORRESPONDING LITIGATION TIMELINE; CORRESPOND WITH OPPOSING COUNSEL RE PAYMENT OF INTEREST ON ARBITRATION AWARD. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	08/15/2007	18	A	3	395.00	0.50	197.50	MULTIPLE CORRESPONDENCES (TELEPHONIC / ELECTRONIC) WITH OPPOSING COUNSEL RE STATUS OF NASD'S POSITION ON PETITION & RE SETTING UP CONFERENCE CALL; CONFERENCES WITH ATTYS TF & EJS RE SAME; SEND E-MAIL TO CLIENT RE STATUS OF CASE & UPCOMING CONFERENCE CALL. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	08/22/2007	18	A	3	395.00	1.75	691.25	TELEPHONE CONFERENCE WITH FINRA ATTYS, ATTYS EJS & TF RE EXPUNGEMENT & PETITION ISSUES; E-MAIL CLIENT SUMMARY OF CONFERENCE & STATUS; BEGIN ENLISTING CLIENT'S HELP IN CONTACTING TENNESSEE COUNSEL TO DISCUSS COURT EXPUNGEMENT ISSUES; FOLLOW UP CONFERENCE WITH ATTY TF RE STRATEGY FOR PETITION PROCESS IN LIGHT OF TELEPHONE CONFERENCE WITH FINRA. REED/DENISE	ARCH



Date: 12/27/2007

Detail Transaction File List  
SHUSTAK & PARTNERS, PC

Page: 10

Client	Trans Date	Tmkr	H P	Tcd	Rate	Hours to Bill	Amount	Ref #
Timekeeper 18 JONAH A. TOLENO								
1420.02	08/23/2007	18	A	3	395.00	0.25	98.75	ARCH
							POST AWARD MATTERS EXCHANGE E-MAILS WITH OPPOSING COUNSEL RE FINRA RESPONSE DATE & FOLLOW UP TO TELEPHONE CONFERENCE OF 8-22-07 RE CRIMINAL DISCLOSURE ISSUES; CONFERENCE WITH PARALEGAL RE BRIEFING OF PETITION & ANTICIPATED TIMELINE. REED/DENISE	
1420.02	08/30/2007	18	A	3	395.00	0.50	197.50	ARCH
							POST AWARD MATTERS CONFERENCE WITH PARALEGAL DG RE STATUS OF PETITION LITIGATION & DEFENDANT WHITE PACIFIC'S RESPONSE OBLIGATIONS; REVIEW PROCEDURAL HISTORY OF SIMILAR PETITION IN FEDERAL COURT; INSTRUCT PARALEGAL RE FOLLOWING UP ON NASD'S REQUEST FOR ADDITIONAL INFO FROM TN COURTS. REED/DENISE	
1420.02	08/31/2007	18	A	3	395.00	0.25	98.75	ARCH
							POST AWARD MATTERS E-MAIL CORRESPONDENCE WITH ATTY TF & CLIENT RE STATUS OF COMMUNICATIONS WITH TN ATTORNEY FOR CLARIFICATION RE COURT EXPUNGEMENT. REED/DENISE	
1420.02	09/04/2007	18	A	3	395.00	0.50	197.50	ARCH
							POST AWARD MATTERS CONFERENCES WITH PARALEGAL DG & ATTY TF RE PROCEDURAL POSTURE OF CASE, STRATEGY FOR EXPUNGEMENT ISSUES IN TN COURT & DEALINGS WITH FINRA; RESEARCH & REVIEW CASE LAW RE PETITION TO CONFIRM IN FEDERAL COURT. REED/DENISE	
1420.02	09/05/2007	18	A	3	395.00	1.50	592.50	ARCH
							POST AWARD MATTERS CONFERENCES WITH ATTY TF & PARALEGAL DG TO REVIEW TN COURT DOCUMENTS SUPPORTING EXPUNGEMENT; TELEPHONE CALL & E-MAILS WITH FINRA ATTY GILL RE STATUS OF EXPUNGEMENT PROCESS; SEND DOCUMENTATION TO FINRA TO ATTEMPT TO SETTLE EXPUNGEMENT MATTER OUTSIDE OF COURT; E-MAILS WITH CLIENT RE SAME & RE PROGRESS IN FEDERAL COURT; TELEPHONE CALL TO CLIENT'S FORMER ATTORNEY TO RESEARCH PRIOR EXPUNGEMENT EVENTS IN TN COURT. REED/DENISE	
1420.02	09/06/2007	18	A	3	395.00	1.00	395.00	ARCH
							POST AWARD MATTERS CORRESPONDENCE WITH ATTY TF RE FURTHER ARGUMENTS TO MAKE ON BEHALF OF DENISE EXPUNGEMENT; E-MAIL FINRA ATTY RE SAME; EMAILS TO CLIENT RE UPDATES ON FINRA'S STANCE RE EXPUNGEMENT & RE FILED PETITION DOCUMENTS. REED/DENISE	
1420.02	09/07/2007	18	A	3	395.00	0.25	98.75	ARCH
							POST AWARD MATTERS CORRESPONDENCE WITH CLIENT RE CONTACTING PRIOR ATTORNEY RE EXPUNGEMENT OF ASSAULT FROM TN COURT RECORDS; SEND CLIENT ADDITIONAL COPIES OF EXHIBITS SENT TO FINRA COUNSEL SUPPORTING EXPUNGEMENT. REED/DENISE	
1420.02	09/07/2007	18	A	3	395.00	0.50	197.50	ARCH
							POST AWARD MATTERS TELEPHONE CALL TO TN COURT RE RECORDS OF ASSAULT & VANDALISM CHARGES; E-MAILS TO ATTYS TF & EJS RE POSSIBLE FURTHER INVESTIGATION OF EXPUNGEMENT FROM OTHER GOVT AGENCIES. REED/DENISE	
1420.02	09/10/2007	18	A	3	395.00	2.50	987.50	ARCH
							POST AWARD MATTERS MULTIPLE TELEPHONE CONFERENCES WITH ATTY FOR FINRA RE FINRA'S POSITION ON EXPUNGEMENT OF QUICK & REILLY COMPLAINT & RE CRIMINAL DISCLOSURES; CONFERENCE WITH ATTY TF RE SAME; E-MAIL CORRESPONDENCE TO CLIENT RE STATUS & REQUESTING HER TO CONTACT FORMER ATTORNEY; REVIEW STIPULATION TO EXTEND PETITION RESPONSE DEADLINE; MULTIPLE E-MAILS WITH OPPOSING COUNSEL & FINRA'S LOCAL COUNSEL RE ALL OF ABOVE; INSTRUCT PARALEGAL DG RE PETITION LITIGATION SCHEDULING. REED/DENISE	
1420.02	09/11/2007	18	A	3	395.00	0.25	98.75	ARCH
							POST AWARD MATTERS CORRESPONDENCES WITH OPPOSING COUNSEL, ATTY TF & CLIENT RE FINALIZATION OF STIPULATION TO EXTEND FINRA'S PETITION RESPONSE DEADLINE. REED/DENISE	

Date: 12/27/2007

Detail Transaction File List  
SHUSTAK & PARTNERS, PC

Page: 11

Client	Trans Date	Tmkr	H P	Tcd	Rate	Hours to Bill	Amount	Ref #
Timekeeper 18 JONAH A. TOLENO								
1420.02	09/13/2007	18	A	3	395.00	0.50	197.50	ARCH
							POST AWARD MATTERS RESEARCH & REVIEW PRIOR PETITIONS IN FEDERAL COURT TO GAIN INFORMATION FOR USE AS LEVERAGE IN NEGOTIATING OUT-OF-COURT SETTLEMENT WITH FINRA RE CRIMINAL RECORDS EXPUNGEMENT ISSUE. REED/DENISE	
1420.02	09/20/2007	18	A	3	395.00	1.00	395.00	ARCH
							POST AWARD MATTERS MULTIPLE TELEPHONE CONFERENCES WITH DENISE'S FORMER ATTORNEY RE OBTAINING ADDITIONAL COURT EXPUNGEMENT ORDER AND WITH FINRA COUNSEL RE PETITION TO CONFIRM; CONFERENCES WITH ATTY EJS & PARALEGAL DG RE PROCEDURE FOR CONFIRMING PORTIONS OF ARBITRATION AWARD; UPDATE CLIENT RE SAME. REED/DENISE	
1420.02	09/21/2007	18	A	3	395.00	0.50	197.50	ARCH
							POST AWARD MATTERS TELEPHONE CONFERENCE WITH ATTY S. GILL RE DETAILS OF STIPULATION FOR ENTRY OF JUDGMENT. REED/DENISE	
1420.02	09/24/2007	18	A	3	395.00	0.25	98.75	ARCH
							POST AWARD MATTERS TELEPHONE CONFERENCE WITH FINRA ATTY S. GILL RE DEFENDANT WP'S ROLE IN EXECUTING STIPULATION FOR ENTRY OF JUDGMENT AS TO EXPUNGEMENT. REED/DENISE	
1420.02	09/25/2007	18	A	3	395.00	0.50	197.50	ARCH
							POST AWARD MATTERS REVIEW WP'S ANSWER TO PETITION; MULTIPLE E-MAILS WITH CLIENT RE NEXT STEPS; E-MAILS WITH ATTY EJS & FINRA'S ATTY RE STIPULATION FOR ENTRY OF JUDGMENT BASED ON WP'S ANSWER. REED/DENISE	
1420.02	09/26/2007	18	A	3	395.00	1.00	395.00	ARCH
							POST AWARD MATTERS MULTIPLE CORRESPONDENCES WITH FINRA ATTORNEYS RE MOTION & SUPPORTING STIPULATION TO VACATE ADR SUBMISSION DEADLINE; REVIEW STIPULATION & MOTION FOR ACCURACY OF REPRESENTATIONS AS TO DENISE REED'S AGREEMENT WITH FINRA ON EXPUNGEMENT; CORRESPONDENCE WITH CLIENT RE INFORMATION REQUIRED BY TN ATTORNEYS FOR OBTAINING EXPUNGEMENT ORDER FROM COURT. REED/DENISE	
1420.02	09/27/2007	18	A	3	395.00	1.50	592.50	ARCH
							POST AWARD MATTERS T/C WITH CLIENT'S CRIMINAL ATTYS IN TN RE ADD'L INFO REQUIRED FOR OBTAINING EXPUNGEMENT ORDER; REVIEW FILED STIPULATION & MOTION BY FINRA TO VACATE ADR REQUIREMENT; CORRESPOND WITH FINRA ATTORNEYS RE SUBJECT MATTER JURISDICTION OBJECTION BY WP; REVIEW & REVISE DRAFT STIPULATION FOR ENTRY OF JUDGMENT AS TO EXPUNGEMENT AND SEND SAME TO ATTY EJS FOR INPUT; UPDATE CLIENT RE ALL OF THE ABOVE REED/DENISE	
1420.02	09/28/2007	18	A	3	395.00	2.75	1086.25	ARCH
							POST AWARD MATTERS REVIEW CHANGES TO STIPULATION BY ATTY EJS IN PREP FOR T/C WITH FINRA ATTORNEYS; T/C WITH FINRA ATTORNEYS RE STIP & SUBJECT MATTER JURISDICTION; REVISE STIPULATION PURSUANT TO T/C & SEND TO FINRA FOR REVIEW; SEND FOLLOW UP CORRESPONDENCE TO CLIENT & ATTY EJS RE NEW REVISIONS TO STIPULATION; CONFERENCE WITH PARALEGAL DG RE ENTERING DEFAULT AGAINST RESPONDENT R. PANELLI; REVIEW & FINALIZE REQUEST FOR ENTRY OF DEFAULT. REED/DENISE	
1420.02	10/02/2007	18	A	3	395.00	0.50	197.50	ARCH
							POST AWARD MATTERS REVIEW REVISIONS TO STIPULATION FROM OPP COUNSEL; SEND SAME TO ATTY EJS; CORRESPOND WITH OPP COUNSEL RE SAME. REED/DENISE	
1420.02	10/03/2007	18	A	3	395.00	0.50	197.50	ARCH
							POST AWARD MATTERS TELEPHONE CONFERENCE WITH OPP COUNSEL RE POSSIBLY INCLUDING WP ON STIP FOR EXPUNGEMENT; CONFERENCES WITH ATTY EJS RE SAME & RE OPP COUNSEL'S CHANGES TO STIPULATION. REED/DENISE	
1420.02	10/04/2007	18	A	3	395.00	0.25	98.75	ARCH
							POST AWARD MATTERS REVIEW CORRESPONDENCE FROM ATTY EJS RE NOT	



Date: 12/27/2007

Detail Transaction File List  
SHUSTAK & PARTNERS, PC

Page: 12

Client	Trans Date	Tmkr	H P	Tcd	Rate	Hours to Bill	Amount	Ref #
<b>Timekeeper 18 JONAH A. TOLENO</b>								
							INCLUDING WP ON EXPUNGEMENT STIPULATION & RE FINAL CHANGES TO STIP; SEND E-MAIL TO OPPOSING ATTORNEYS RE LEAVING WP OFF EXPUNGEMENT STIP & RE FINAL VERSION OF STIPULATION. REED/DENISE POST AWARD MATTERS	
1420.02	10/04/2007	18	A	3	395.00	0.25	98.75 CORRESPONDENCES WITH OPPOSING COUNSEL RE NOT INCLUDING WP ON STIPULATION; RECEIVE & REVIEW ADDITIONAL CHANGES TO STIPULATION & JUDGMENT ORDER. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	10/05/2007	18	A	3	395.00	0.50	197.50 REVIEW & APPROVE FINAL STIPULATION & JUDGMENT ORDER; CORRESPONDENCE WITH OPPOSING COUNSEL RE SAME; INSTRUCT STAFF RE FILING; SEND COPIES OF SAME TO CLIENT. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	10/10/2007	18	A	3	395.00	0.75	296.25 REVIEW MULTIPLE FILINGS FROM DISTRICT COURT APPROVING STIPULATION & ENTRY OF JUDGMENT RE PETITION FOR EXPUNGEMENT; CONFERENCE WITH PARALEGAL DG RE SAME; SEND E-MAIL TO CLIENT EXPLAINING FILINGS & ATTACHING ALL FILINGS. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	10/11/2007	18	A	3	395.00	0.25	98.75 CONFERENCES WITH ATTY EJS & PARALEGAL DG RE PROCEDURE FOR REQUESTING AWARD OF ATTORNEYS' FEES IN DISTRICT COURT FOR PETITION & RELATED ARBITRATION AWARD ENFORCEMENT WORK. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	10/17/2007	18	A	3	395.00	0.50	197.50 REVIEW DISCLOSURE LETTER FROM CLIENT'S EMPLOYER; MULTIPLE E-MAILS WITH CLIENT & ATTY TF RE RESPONDING TO DISCLOSURE LETTER & RE CONTACTING FINRA RE SAME. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	10/29/2007	18	A	3	395.00	0.75	296.25 MULTIPLE E-MAIL & TELEPHONE CORRESPONDENCES WITH OPP COUNSEL, CLIENT, CLIENT'S SUPERVISOR, ATTY TF, AND CLIENT'S TN COUNSEL'S OFFICE RE STATUS OF ASSAULT EXPUNGEMENT AND STRATEGY FOR RESPONDING TO FINRA'S DISCLOSURE LETTER. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	11/01/2007	18	A	3	395.00	0.50	197.50 FOLLOW UP TELEPHONE CALL TO TN COUNSEL RE STATUS OF EXPUNGEMENT ORDER REQUEST; BEGIN DRAFT OF APPLICATION FOR ATTYS FEES RE PETITION TO CONFIRM. REED/DENISE POST AWARD MATTERS	ARCH
1420.02	12/03/2007	18	U	3	395.00	2.50	987.50 REVISE MOTION FOR ATTORNEYS' FEES & SUPPORTING DOCUMENTS. REED/DENISE POST AWARD MATTERS	168
1420.02	12/04/2007	18	U	3	395.00	2.75	1086.25 REVISE & FINALIZE MOTION FOR ATTYS FEES & SUPPORTING DOCUMENTS/EXHIBITS; INSTRUCT PARALEGAL DG RE FILING OF SAME. REED/DENISE POST AWARD MATTERS	169
<b>Total for Timekeeper 18</b>					Billable	55.50	21922.50 JONAH A. TOLENO	
<b>Timekeeper 19 THOMAS FROST</b>								
1420.02	06/19/2007	19	A	1	395.00	1.50	592.50 PREPARE PETITION TO CONFIRM ARBITRATION AWARD REED/DENISE POST AWARD MATTERS	ARCH
1420.02	06/22/2007	19	A	1	395.00	0.50	197.50 EMAILS FROM ERIN BARBER REGARDING STATUS OF PETITION TO CONFIRM REED/DENISE POST AWARD MATTERS	ARCH
1420.02	06/28/2007	19	A	1	395.00	1.00	395.00 RECEIVE AND REVIEW MOTION TO MODIFY AWARD; RESEARCH REGARDING SAME REED/DENISE POST AWARD MATTERS	ARCH
1420.02	06/28/2007	19	A	1	395.00	0.50	197.50 PREPARE MEMO TO ATTORNEYS REGARDING LITIGATION STRATEGY REED/DENISE	ARCH

Date: 12/27/2007

Detail Transaction File List  
SHUSTAK & PARTNERS, PC

Page: 13

Client	Trans Date	Tmkr	H P	Tcd	Rate	Hours to Bill	Amount	Ref #
<b>Timekeeper 19 THOMAS FROST</b>								
1420.02	06/28/2007	19	A	1	395.00	0.50	197.50 POST AWARD MATTERS EMAILS WITH ERWIN SHUSTAK REGARDING JURISDICTIONAL ISSUES REED/DENISE	ARCH
1420.02	06/28/2007	19	A	1	395.00	1.00	395.00 POST AWARD MATTERS PREPARE OPPOSITION TO MOTION FOR RECONSIDERATION REED/DENISE	ARCH
1420.02	06/28/2007	19	A	1	395.00	1.00	395.00 POST AWARD MATTERS PREPARE PETITION TO CONFIRM AWARD REED/DENISE	ARCH
1420.02	06/28/2007	19	A	1	395.00	0.50	197.50 POST AWARD MATTERS REVIEW MEMO BY ROB HILL REGARDING LIMITATIONS PERIOD FOR MOTIONS FOR RECONSIDERATION REED/DENISE	ARCH
1420.02	07/04/2007	19	A	1	395.00	0.50	197.50 POST AWARD MATTERS REVIEW EMAILS FROM JONAH TOLENO REGARDING STATUS OF MATTER REED/DENISE	ARCH
1420.02	07/26/2007	19	A	1	395.00	0.50	197.50 POST AWARD MATTERS EMAILS WITH JONAH TOLENO REGARDING STATUS OF MOTION FOR RECONSIDERATION REED/DENISE	ARCH
1420.02	07/27/2007	19	A	1	395.00	0.50	197.50 POST AWARD MATTERS EMAILS WITH JONAH TOLENO AND DOMINICK GIOVANIELLO REGARDING STATUS OF MOTION FOR RECONSIDERATION REED/DENISE	ARCH
1420.02	07/30/2007	19	A	1	395.00	0.25	98.75 POST AWARD MATTERS RECEIVE AND REVIEW ORDER DENYING MOTION FOR RECONSIDERATION REED/DENISE	ARCH
1420.02	07/30/2007	19	A	1	395.00	0.50	197.50 POST AWARD MATTERS PREPARE LETTER TO COUNSEL REGARDING ESCROW FUNDS REED/DENISE	ARCH
1420.02	07/30/2007	19	A	1	395.00	0.50	197.50 POST AWARD MATTERS EMAILS AND TELEPHONE CALLS WITH ATTORNEY LIPPENBERGER REGARDING ESCROW INSTRUCTIONS REED/DENISE	ARCH
1420.02	07/30/2007	19	A	1	395.00	0.50	197.50 POST AWARD MATTERS RECEIVE AND REVIEW ESCROW INSTRUCTIONS; EMAILS WITH MICHAEL BOLGATZ RE SAME REED/DENISE	ARCH
1420.02	08/02/2007	19	A	1	395.00	0.50	197.50 POST AWARD MATTERS EMAILS WITH CLIENT AND JONAH TOLENO REGARDING STATUS OF EXPUNGEMENT AND PAYMENT OF AWARD; MEETING WITH JONAH TOLENO RE SAME REED/DENISE	ARCH
1420.02	08/03/2007	19	A	1	395.00	0.50	197.50 POST AWARD MATTERS EMAILS WITH CLIENT AND ERWIN SHUSTAK REGARDING STATUS OF MATTER REED/DENISE	ARCH
1420.02	08/03/2007	19	A	1	395.00	0.25	98.75 POST AWARD MATTERS REVIEW LETTER TO JOHN SULLWOLD REGARDING POST ARBITRATION MATTERS REED/DENISE	ARCH
1420.02	08/07/2007	19	A	1	395.00	0.25	98.75 POST AWARD MATTERS EMAILS WITH JONAH TOLENO REGARDING FINRA EXTENSION TO RESPOND REED/DENISE	ARCH
1420.02	08/07/2007	19	A	1	395.00	0.50	197.50 POST AWARD MATTERS PREPARE RESPONSE TO LETTER BY SULLWOLD REGARDING ATTORNEYS FEES REED/DENISE	ARCH
1420.02	08/08/2007	19	A	1	395.00	0.25	98.75 POST AWARD MATTERS EMAIL TO JONAH TOLENO REGARDING FEDERAL COURT JURISDICTION ISSUES REED/DENISE	ARCH
1420.02	08/22/2007	19	A	1	395.00	2.50	987.50 POST AWARD MATTERS PREPARATION AND TELEPHONE CONFERENCE WITH FINRA COUNSEL REGARDING EXPUNGEMENT REED/DENISE	ARCH
1420.02	09/05/2007	19	A	1	395.00	1.50	592.50 POST AWARD MATTERS PREPARE RESPONSE TO NASD REGARDING EXPUNGEMENT MATTERS; MEETING WITH JONAH TOLENO RE SAME	ARCH

Date: 12/27/2007

### Detail Transaction File List

Page: 14

Client	Trans Date	Tmkr	H P	Tcd	Rate	Hours to Bill	Amount	Ref #
Timekeeper 19 THOMAS FROST								
1420.02	09/06/2007	19	A	1	395.00	0.50	197.50	REED/DENISE POST AWARD MATTERS EMAILS WITH TOLENO RE EXPUNGEMENT MATTER
1420.02	09/10/2007	19	A	1	395.00	0.75	296.25	REED/DENISE POST AWARD MATTERS EMAILS WITH JONAH TOLENO REGARDING EXPUNGEMENT
1420.02	09/10/2007	19	A	1	395.00	0.75	296.25	REED/DENISE POST AWARD MATTERS PREPARE RESPONSE TO FINRA OPPOSITION TO EXPUNGEMENT
1420.02	10/17/2007	19	A	1	425.00	0.50	212.50	REED/DENISE POST AWARD MATTERS RECEIVE AND REVIEW DISCLOSURE LETTER DETAIL; EMAILS WITH JONAH TOLENO AND CLIENT RE SAME
1420.02	10/17/2007	19	A	1	425.00	0.50	212.50	REED/DENISE POST AWARD MATTERS EMAILS WITH SARAH GILL REGARDING RESPONSE TO DISCLOSURE LETTER DETAIL
REED/DENISE POST AWARD MATTERS								
Total for Timekeeper 19					Billable	19.00	7535.00	THOMAS FROST
GRAND TOTALS								
					Billable	126.75	42140.54	

## **Exhibit B**

# Investment Fraud Lawyers & Securities Fraud Lawyers in New York and California

1. Shustak & Partners is a specialty law firm with a national practice focused in the areas of securities and business law. We have the highest quality investment fraud lawyers and securities fraud lawyers, as well as distinguished corporate finance lawyers and business lawyers. The firm operates from offices in New York and California, and is comprised of experienced, sophisticated attorneys who have earned a solid reputation as strategic problem solvers, ardent negotiators and successful deal makers.

## Protecting your Investment and Business Needs

The Firm represents a select clientele that includes domestic and foreign publicly traded corporations; brokerage firms and brokers; banks; insurance companies; manufacturing firms; venture capital firms; investment advisers, entrepreneurs and investors. As investment fraud lawyers, securities fraud lawyers, arbitration and litigation lawyers, and business lawyers -- to name a few of our specialties -- our lawyers focus on protecting your interests and your investments. The Firm routinely handles cases that range from arbitrations, trials and appeals in State and Federal Courts and securities self-regulatory organizations (AAA; NYSE; NASD) throughout the country to financings, broker/dealer registrations, Investment Adviser Registrations and public and private securities offerings.

The success of Shustak & Partners is built on the strong capabilities of our attorneys. Well-versed in complex business transactions, financings and litigations, the Firm regularly handles a wide variety of business and securities matters through our securities fraud lawyer and investment fraud lawyers. Legal matters are handled with the highest level of competence. We are seasoned strategists who can navigate our way around the negotiating table as well as the courtroom.

## Let Us Serve Your Legal Needs

As a "boutique" law firm, Shustak & Partners is unique in its ability to handle the most complex legal matters, while providing its clients with a close, personal service -- not often available at larger, less intimate firms. We treat our clients' matters as if they were our own, exercising the highest degree of judgment, integrity and discretion. The Firm's partners are "hands-on" and are directly in charge of each case or matter. The clients' interests are paramount and each client is regularly consulted at every stage of the legal process -- from beginning through completion. We exist solely to serve our clients and obtain the necessary results

# **Fraud Lawyers, Securities Lawyer, and Broker Misconduct Lawyer**

Areas of Practice:

The Firm's practice is focused in securities, corporate finance and business law.

In fact, many major law firms turn to us for our advice and guidance in these specific areas.

**The following is a partial listing of our services:**

**Business & Corporate Litigation**

**Arbitrations**

**Corporate & Securities Transactions & Practice**

**Representation of Broker-Dealers & Registered Persons**

**Registered Investment Advisers**

**Real Estate Law**

**Erwin J. Shustak, Esq.**

[1\\_shustak@shufirm.com](mailto:1_shustak@shufirm.com)  
619.696.9500 ext. 109

**Practice Areas**

Complex Securities and Business Litigation

Securities and Business Arbitrations

Intellectual Property and Unfair Competition Disputes

Erwin Shustak is one of the founding partners and heads the Firm's Litigation and Arbitration Department. He is the managing partner of the Firm.

Mr. Shustak specializes in litigations, trials, arbitrations and appeals of complex business and securities disputes. He has handled and overseen several hundred litigations and arbitrations, in Federal and State Courts and arbitration forums across the country. He specializes in securities and financial fraud cases.

He has represented public and private companies of all kinds, including financial institutions, governmental agencies, including the FDIC and the Resolution Trust Corporation, manufacturing firms, broker-dealers, hedge funds, registered representatives and investors. His vast trial and arbitration experience includes business, securities and financial fraud; breach of contract; employment disputes; unfair competition, non-compete and restrictive covenant cases; RICO and intellectual property disputes including copyright and trademark infringement.

Erwin was honored as one of San Diego's "Super Lawyers" of 2007 by Southern California's "Super Lawyers" magazine featured in the Southern California edition of The New York Times and The San Diego Union Tribune. He also was selected by the Editors of the San Diego Daily Transcript as one of the Transcript's Top Ten Attorneys in 2005.

Mr. Shustak began his career at the prominent firm of Kaye Scholer Fierman Hays & Handler in New York City. He is admitted to the State and Federal Courts of California, New York and New Jersey; the Second, Third, Fifth and Ninth Circuit Federal Courts of Appeals, and the United States Supreme Court.

He serves as an arbitrator for the National Association of Securities Dealers, the National Futures Association and the Arbitration Forum and is a member of the Association of Trial Lawyers of America; the Association of Business Trial Lawyers of San Diego; the Public Investor Arbitration Bar Association; the New York and California State Bar Associations and the San Diego County Bar Association where he has served on the Mandatory Fee Arbitration Committee since 2000.

Erwin resides in La Jolla, California and travels extensively serving the Firm's clients. He is an



avid sailor and skier and is active in a number of community organizations including Cub Scouts and various pro bono projects.

**Education**

J.D.- New York University School of Law, 1976. Member *Law Review*, selected on the basis of academic excellence.

B.A.- Rutgers College, New Brunswick, New Jersey, 1973. *Phi Beta Kappa*, *Cum Laude* and Highest Distinction in Economics; *Omicron Delta Epsilon*, *National Economics Honor Society*.

**Thomas C. Frost, Esq.**

1. [tfrost@shufirm.com](mailto:tfrost@shufirm.com)

619.696.9500 ext. 111 **Practice Areas**

Complex Securities and Business Litigation

Securities and Business Arbitrations

Real Estate and Corporate Transactions and Litigation

Trademark and Intellectual Property Law

Mr. Frost is a partner based in the Firm's San Diego office and focuses his practice on litigation, primarily in the areas of business and securities law. He has represented a broad range of clients, including manufacturing firms, retailers, real estate development companies, recording studios, hedge funds, mutual funds, registered representatives, and investors, in Federal and State Courts and arbitration forums across the country.

**Education:**

J.D., University of Southern California Law Center, 1996

B.A., University of California, Los Angeles, 1992

**Bar and Court Admissions:**

California State Bar

U.S. District Court, Southern District of California

U.S. District Court, Central District of California

U.S. Court of Appeals, Ninth Circuit

**Professional Affiliations:**

California State Bar Association

San Diego County Bar Association

Public Investors Arbitration Bar Association

Association of Trial Lawyers of America

Consumer Attorneys of California

**Jonah Toleno, Esq.**

1. [jtoleno@shufirm.com](mailto:jtoleno@shufirm.com)

619.696.9500 **Practice Areas**

Business and Commercial Litigation

Civil Litigation

Contract Disputes

Securities and Business Law

Ms. Toleno is a partner based in the Firm's San Diego office. Her practice is focused on business disputes and litigation. She has appeared extensively in the state and federal courts of California and Arizona. She represents a wide range of clients in civil actions, contract negotiations, securities litigation, and general business law.

Ms. Toleno attended the University of California Hastings College of the Law in San Francisco, California, where she served as an editor on the Hastings Women's Law Journal and held leadership offices in other student organizations.

Ms. Toleno was raised in California and is fluent in Tagalog, the national language of the Philippines. Since graduating from law school, she has been active in various community organizations in her capacity as a Filipino-American lawyer. She resides in San Diego with her husband and their two children.

**Education**

J.D., University of California Hastings College of the Law, San Francisco, CA, 2000

Associate Editor and Member, *Hastings Women's Law Journal*

B.A., University of California, Berkeley, 1996

Major, Legal Studies

Minor, Music

**Bar and Court Admissions**

California State Bar

U.S. District Court, Northern District of California

U.S. District Court, Southern District of California

U.S. District Court, Central District of California

Arizona State Bar

U.S. District Court, District of Arizona

U.S. Court of Appeals, Ninth Circuit

**Professional Affiliations**

California State Bar Association

Arizona State Bar Association

San Diego County Bar Association  
Public Investors Arbitration Bar Association  
Filipino Lawyers Association of San Diego  
Filipino American Chamber of Commerce, San Diego Chapter  
Maricopa County Bar Association  
**Back to Our Attorneys**

**Julie F. Miller, Esq.**

[jmiller@shufirm.com](mailto:jmiller@shufirm.com)

212.688.5900 ext 224 **Practice Areas**

Securities Law

Corporate/Business Law

Venture Capital, Hedge Funds

Julie F. Miller joined the Firm in 2003. Her primary specialty is corporate and business law. Prior to joining Shustak & Partners, Mrs. Miller was an associate in the Palo Alto office of Brobeck Phleger & Harrison. Her transactions include Cobalt Networks' acquisition by Sun Microsystems (\$2 billion), Broadcom's acquisitions of Silicon Spice (\$1.2 billion), Allayer Communications (\$365 million) and Digital Furnace (\$135 million), NetMind Technologies' acquisition by Puma Technology (\$660 million), Moseley Associates' acquisition of the MDS Division of Adaptive Broadband, Synopsys' acquisition of The Silicon Group and Abbot Group's investment in, with an option to buy, Spear Technologies.

At U.C. Davis School of Law, Mrs. Miller was the Managing Editor of the *U.C. Davis Law Review*. She also served as a teaching assistant in Constitutional Law, Contract Law and Tort Law. At U.C. Davis Graduate School of Management, she served as a teaching and research assistant to Professor George Bittlingmayer, focusing primarily on investment analysis and law and economics.

Mrs. Miller lives with her husband, Jason, in Manhattan. She is an avid runner and enjoys traveling to her home state, Hawaii, to visit family and friends.

**EDUCATION:**

J.D., University of California, Davis, 1999

Managing Editor, U.C. Davis Law Review  
Teaching Assistant, Constitutional Law, 1997  
Teaching Assistant, Contract Law, 1997-98  
Teaching Assistant, Tort Law, 1998-99

M.B.A., University of California, Davis, 1999

Teaching and Research Assistant to Finance Professor George Bittlingmayer

B.A., University of California, San Diego, 1994

Major: Quantitative Economics and Decision Sciences  
Minor: Mathematics

***BAR ADMISSIONS:***

*California, 1999*

*New York, 2004*

**Robert Hill, Esq.**

1. rhill@shufirm.com

619.696.9500 ext. 114

**Practice Areas**

Complex Securities and Business Litigation

Securities and Business Arbitration

Civil Appeals

Robert Hill is an associate based in the firm's San Diego office. Robert's practice centers on business and securities litigation and arbitration. He is also experienced with appellate matters, arguing before the California Court of Appeals on multiple occasions. Before joining the firm, Robert clerked for the Honorable Justice Ronald Parraguirre of the Nevada Supreme Court.

Robert received his Bachelor of Science degree with honors from North Dakota State University, majoring in Industrial Engineering and Management. Robert attended law school at Thomas Jefferson School of Law in San Diego and graduated summa cum laude. Robert graduated first in his class and was heavily involved in the Thomas Jefferson Law Review and Moot Court team throughout law school.

**EDUCATION:**

J.D., Thomas Jefferson School of Law, 2005, summa cum laude. Top ranked student in graduating class.

B.S., North Dakota State University, 2002, with honors.

**BAR AND COURT ADMISSIONS:**

Admitted to the State Courts of California, 2006.

U.S. District Court, Southern District of California

U.S. District Court, Central District of California

U.S. District Court, Northern District of California

U.S. Court of Appeals, Ninth Circuit

**PROFESSIONAL AFFILIATIONS:**

California State Bar Association

American Bar Association

Public Investors Arbitration Bar Association



**Dominic Giovanniello, Paralegal**

[dgiovanniello@shufirm.com](mailto:dgiovanniello@shufirm.com)

619.696.9500 **Practice Areas**

Arbitration and Litigation

Securities

Corporate/Business Law

Dominic Giovanniello is a paralegal in the San Diego office focusing on securities litigation and broker/dealer arbitration. Prior to joining the firm, Mr. Giovanniello was employed as a securities litigation paralegal managing complex shareholder derivative actions and class action cases.

Mr. Giovanniello has over 15 years experience within the financial services industry. As a licensed professional, his experience covered brokerage operations, trading and SEC compliance.

**EDUCATION:**

Bachelor of Arts, Economics, State University of New York at Stony Brook, 1990.

Paralegal Certificate, University of San Diego, 2006. Awarded with honors.

**Juliana Konze, Paralegal**

[jkonze@shufirm.com](mailto:jkonze@shufirm.com)

619.696.9500 ext. 122 **Practice Areas**

Arbitration and Litigation

Securities

Corporate/Business Law

Juliana Konze is a paralegal in the San Diego office focusing on securities and business litigation. Ms. Konze's prior experience includes general civil litigation, extensive client contact and overseeing and coordinating discovery in State and Federal cases.

Ms. Konze has over 7 years of experience within the legal field.

**EDUCATION:**

Bachelor of Juridical and Social Sciences (Law), PUC, Brazil, 1999.

Paralegal Certificate, University of San Diego, 2006. Awarded with honors.